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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Katsuhiko OKI et al.

Serial No.: 09/754,710

ATTN: BOX MISSING PARTS

Filed: January 11, 2001

Group Art Unit:2161

FOR: METHOD AND SYSTEM FOR E-TRANSACTION

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commisssioner for Patents Washington, D.C. 20231

April 19, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Application dated March 19, 2001, enclosed for filing is an Executed Declaration and Power of Attorney and a Small Entity Declaration for the above-identified patent application.

Also enclosed is our check in the amount of \$573.00, for the government filing fee of \$355.00, \$153.00 for extra total claims, and the surcharge fee of \$65.00 for filing the late Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI McLELAND & NAUGHTON, LLP

Donald W. Hanson Attorney for Applicants

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United States Patent and Trademark Office

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

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Katsuhiko Oki

001765

CONFIRMATION NO. 4391

FORMALITIES LETTER

OC000000005769857

ARMSTRONG, WESTERMAN, HATTORI, MCLELAND & NAUGHTON, LLP 1725 K STREET, NW, SUITE 1000 WASHINGTON, DC 20006

Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$153.
 - \$153 for 17 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 573.

888 00000004 09754710 A copy of this notice <u>MUST</u> be returned with the reply. Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE